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I hereby certify that this paper (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231.

RHEA A WILSON

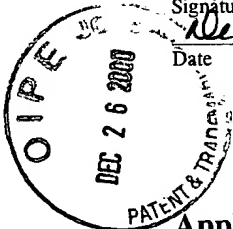
Type or print name of person mailing paper

Rhea A. Wilson

Signature of person mailing paper

December 19, 2000

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants :** Douglas J. Herst  
Peter Y. Y. Ngai  
Hue Ly

**Attention:** Manager  
Application Branch

**Serial No. :** 09/641,469

**Docket:** 202N-095

**Filed :** August 17, 2000

**For :** LUMINAIRE HAVING A MOCK LIGHT SOURCE  
FOR IMPROVED SOURCE BRIGHTNESS CONTROL

Oakland, California  
December 19, 2000

Asst. Commissioner for Patents  
Washington, D.C. 20231

**Attention:** Application Processing Division  
Special Processing and  
Correspondence Branch

Dear Sir:

**RESPONSE**

The Applicants herewith respond to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.

Enclosed are the required missing parts as follows:

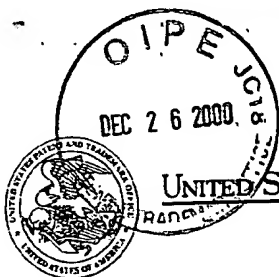
1. Declaration for Patent Application, executed by Douglas J. Herst, Peter Y.Y. Ngai and Hue Ly.
2. A check in the sum of \$1,486 is enclosed to cover the large entity surcharge fee (\$130) and filing fee of \$1,356, along with a copy of Form PTO-1533.

Please charge Deposit Account No. 19-2383 for any additional charges, or credit any excess fee paid. A duplicate of this request is attached for this purpose.

Respectfully submitted,



Donald L. Beeson  
Reg. No. 27,692  
Attorney for Applicants  
One Kaiser Plaza, Suite 2360  
Oakland, California 94612  
(510) 832-8700

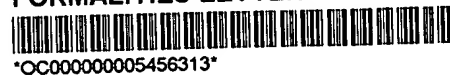


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,469	08/17/2000	Douglas J. Herst	202N-099095

Donald L Beeson  
Suite 2360  
One Kaiser Plaza  
Oakland, CA 94612

**FORMALITIES LETTER**


\*OC000000005456313\*

Date Mailed: 10/05/2000

12.5.00

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**
**FILED UNDER 37 CFR 1.53(b)**
**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$666.
  - \$432 for 24 total claims over 20.
  - \$234 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1486.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2000 KZENDIE 00000049 192383 09541469  
710.00 OP  
130.00 OP  
240.00 OP  
406.00 OP  
26.00 CH

